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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. 10/677,734

Customer No. 23379

Applicant: Gardner et al.

Confirmation No. 4912

Filed: Oct 01, 2003

Group Art Unit: 1652

Docket No. UTSD:1510-1

Examiner: Swope, Sheridan

Title: Foreign PAS Ligands Regulate PAS

Domain Function

CERTIFICATE OF TRANSMISSION

I hereby certify that this corr is being transmitted by facsimile to the Comm for Paper's 571-273-8300 on January 10, 2007.

Richard Aron Osman

# **BRIEF ON APPEAL**

The Honorable Board of Appeals and Interferences United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Dear Honorable Board:

We appeal from the Examiner's Jan 05, 2007 final rejection of claim 21.

# **REAL PARTY IN INTEREST**

The real party in interest is the Board of Regents, the University of Texas System, the assignee of this application.

# RELATED APPEALS AND INTERFERENCES

An appeal is pending in related application 10/677,733; Appellants are unaware of any other related appeals or interferences.

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Serial No. 10/677,734

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## STATUS OF CLAIMS

Claim 21 is rejected and subject to this appeal; claim 22 is withdrawn as directed to a nonelected group.

## STATUS OF AMENDMENTS

All Amendments are believed to be properly before the Board.

# SUMMARY OF CLAIMED SUBJECT MATTER

A method of changing a functional surface binding specificity of a selected PAS (Per-ARNT-Sim) domain, wherein the PAS domain is folded in its native state, and comprises a hydrophobic core that has no NMR-apparent a priori formed ligand cavity, the method comprising the steps of: (a) introducing into the hydrophobic core of the PAS domain a foreign ligand of the PAS domain; and (b) detecting a resultant change in the functional surface binding specificity of the PAS domain (Specification, p.3, lines 3-8; claim 21); in particular, wherein the PAS domain is HIF2a PAS B (Specification, p.3, line 18; claim 21), and the binding specificity is an intramolecular binding affinity of the PAS domain (Specification, p.3, lines 9-10; claim 21), detected as a change in chemical shifts detected by 1H/15N-HSQC NMR (Specification, p.13, line 29; claim 21).

# GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

- WHETHER THE EXAMINER HAS PROPERLY REJECTED CLAIM 21 UNDER
   35USC112, FIRST PARAGRAPH (Enablement).
- II. WHETHER THE EXAMINER HAS PROPERLY REJECTED CLAIM 21 UNDER35USC112, FIRST PARAGRAPH (Written Description).
- III. WHETHER THE EXAMINER HAS PROPERLY REJECTED CLAIM 21 UNDER 35USC103(a).

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## **ARGUMENT**

I. THE EXAMINER HAS NOT PROPERLY REJECTED CLAIM 21 UNDER 35USC112, FIRST PARAGRAPH (Enablement).

The claim is directed to a method of changing a functional surface binding specificity of a selected PAS domain by (a) introducing into the hydrophobic core of the PAS domain a foreign ligand of the PAS domain; and (b) detecting a resultant change in the functional surface binding specificity of the PAS domain, wherein ...the binding specificity is an intramolecular binding affinity of the PAS domain, detected as a change in chemical shifts detected by 1H/15N-HSQC NMR.

As explained in the Specification, suitable foreign ligands may be screened from libraries of synthetic or natural compounds, and conventional SAR analyses provide ligands of higher affinity and/or specificity (Specification, p.6, lines 9-10). This process was specifically exemplified with HIF2a PAS B, wherein a library of 772 compounds (Specification p.13, lines 6-14) was screened for HIF2a PAS B binding using 1H/15N-HSQC NMR; as seen in Figure 1, 21 hits were obtained for HIF2a PAS B (see also, Specification, p.18, line 1). From these the inventors developed a "lead" HIF2a PAS B ligand (Specification, top of p.31).

The Specification confirms that the foreign ligands bind the hydrophobic core of HIF-2 PAS B, and as a result, alter the functional surface binding specificity of the PAS domain, wherein the binding specificity is an intramolecular binding affinity of the PAS domain detected as a change in chemical shifts detected by 1H/15N-HSQC NMR:

Our structural studies confirm that core ligand binding in each HIF-2 PAS B and ARNT PAS B induces distal changes in PAS domain structure, and functional binding studies confirm resultant changes in DNA:HIF-2:ARNT transcription complex formation and DNA binding specificity (e.g. Michel et al., Biochim Biophys Acta. 2002 Oct 11;1578(1-3):73-83). Table 3 show exemplary identified foreign ligands of HIF-2a PAS B and ANRT PAS B, respectively, which specifically bind within their hydrophobic cores and disrupt complex formation. Specification, p.18, lines 21-26.

The practitioner does not require any a priori structural characteristics of the recited "foreign ligand" to practice the method. As demonstrated, the method is typically practiced using a library of compounds which need not be structurally characterized.

As for the cited step of introducing into the foreign ligand into the hydrophobic core of the PAS domain, this can be effected by simply mixing a PAS domain-containing protein with the ligand in solution (Specification, p.20, lines 7-8).

As demonstrated by the Specification (supra) and the uncontroverted evidence of record (Expert Declaration under 37CFR1.132, attached below), one skilled in the art would have been readily able to practice this without undue experimentation.

# II. THE EXAMINER HAS NOT PROPERLY REJECTED CLAIM 21 UNDER 35USC112, FIRST PARAGRAPH (Written Description).

The claim is directed to a method of changing a functional surface binding specificity of a selected PAS domain by (a) introducing into the hydrophobic core of the PAS domain a foreign ligand of the PAS domain; and (b) detecting a resultant change in the functional surface binding specificity of the PAS domain, wherein the PAS domain is HIF2a PAS B....

As explained in the Specification, suitable foreign ligands may be screened from libraries of synthetic or natural compounds, and conventional SAR analyses provide ligands of higher affinity and/or specificity (Specification, p.6, lines 9-10). This process was specifically exemplified with HIF2a PAS B, wherein a library of 772 compounds (Specification p.13, lines 6-14) was screened for HIF2a PAS B binding using 1H/15N-HSQC NMR; as seen in Figure 1, 21 hits were obtained for HIF2a PAS B (see also, Specification, p.18, line 1). From these the inventors developed a "lead" HIF2a PAS B ligand (Specification, top of p.31).

The Specification confirms that the foreign ligands bind the hydrophobic core of HIF-2 PAS B, and as a result, alter the functional surface binding specificity of the PAS domain, wherein the binding specificity is an intramolecular binding affinity of the PAS domain detected as a change in chemical shifts detected by 1H/15N-HSQC NMR:

Our structural studies confirm that core ligand binding in each HIF-2 PAS B and ARNT PAS B induces distal changes in PAS domain structure, and

functional binding studies confirm resultant changes in DNA:HIF-2 :ARNT transcription complex formation and DNA binding specificity (e.g. Michel et al., Biochim Biophys Acta. 2002 Oct 11;1578(1-3):73-83). Table 3 show exemplary identified foreign ligands of HIF-2a PAS B and ANRT PAS B, respectively, which specifically bind within their hydrophobic cores and disrupt complex formation. Specification, p.18, lines 21-26.

The practitioner does not require any a priori structural characteristics of the recited "foreign ligand" to practice the method. As demonstrated, the method is typically practiced using a library of compounds which need not be structurally characterized.

As demonstrated by the Specification (supra) and the uncontroverted evidence of record (Expert Declaration under 37CFR1.132, attached below), the Specification amply describes and exemplifies the claimed methods to one skilled in the art.

III. THE EXAMINER HAS NOT PROPERLY REJECTED CLAIM 21 UNDER 35USC103(a) OVER Vogtherr (EXS. 2003, 93, 183-202) OR Amezcua (Structure 2002, 10, 1349-61) IN VIEW OF Ema (PNAS USA, 1997, 94, 4273-8) IN FURTHER VIEW OF Fukunaga (J Biol Chem 1995, 270, 29270-8).

The claim is directed to a method of changing a functional surface binding specificity of a selected PAS domain, wherein the PAS domain is folded in its native state, and comprises a hydrophobic core that has no NMR-apparent a priori formed ligand cavity by (a) introducing into the hydrophobic core of the PAS domain a foreign ligand of the PAS domain; and (b) detecting a resultant change in the functional surface binding specificity of the PAS domain, wherein the PAS domain is HIF2a PAS B, and the binding specificity is an intramolecular binding affinity of the PAS domain detected as a change in chemical shifts detected by 1H/15N-HSQC NMR.

Vogtherr (2003) generally describes the use of NMR-based screening for lead discovery; Amezcua (2002) describes the used of NMR to detect ligand binding to PAS kinase; Ema (1997) reports that *HIF1a* heterodimerizes with Arnt (note that HIF1a is structurally and functionally distinct from the recited HIF2a; Sowter (Cancer Res, 2003, 63, 6130-34); and Fukunaga (1995)

reports identification of functional domains of the aryl hydrocarbon receptor.

Prior to the present disclosure, HIF was known to be regulated in several ways by oxygen availability, but only via mechanisms that are based on oxygen-sensitive enzymes that covalently modify portions of the HIFa subunit at sites distant to the PAS domains (Expert Declaration under 37CFR1.132, attached below, and citations therein). These prior findings taught away from any expectation that the HIF PAS domains would be sensory. In addition, HIF2a PASB presents a well-folded domain lacking the dynamic regions of PASK PAS A (Amezucua et al., 2002, p.1352, col.1, lines 10-12) and long insertion loops of NPAS2 PAS A (Erbel et al., 2003, PNAS 100, 15504-9), further removing any expectation of core ligand binding. Furthermore, we have of record uncontroverted evidence in the form of an expert Declaration, confirming that one skilled in the art at the time of our filing would not have expected HIF2a PAS to provide a core for sensory ligand binding.

The Action correctly states that the inventors' prior publication (Amezucua et al., 2002) disclosed that hPASK PAS A has a well-packed hydrophobic core, yet was able to bind small organic molecules, and speculated that other PAS domains, including those that do not copurify with ligands when isolated from natural sources, may serve sensor roles in vivo. However, as noted above, hPASK PAS A also demonstrated "unusual flexibility ... near the ligand binding sites" (Amezucua et al., 2002, p.1352, col.1, lines 10-12). This unusual flexibility near the ligand binding site is what led the authors to hypothesize that hPASK PAS A might be able to bind small organic molecules despite its NMR-apparent well-packed core (id.) -- and this unusual flexibility near the ligand binding site is not present in HIF2a PASB. Also as noted above, unlike the situation with hPASK, HIF was previously known to be regulated by non-PAS mechanisms. It is because of their structural and functional distinctions, that there is no suggestion anywhere that HIF2a PAS would or could provide a receptor for small organic molecules, and no one skilled in the art would try to impose on HIF2a PASB an inference drawn from a functionally and structurally distinct protein like HPASK PAS A. And the foregoing is documented in the uncontroverted expert declaration of record.

Though the cited art does not support a prima facie case for obviousness, for good measure we have of record uncontroverted affirmative evidence documenting the fact that one

skilled in the art would have considered the claimed invention nonobvious at the time it was made (Expert Declaration under 37CFR1.132, attached below).

Appellants respectfully request reversal of the pending Final Action by the Board of Appeals. The appeal brief fee is provided in the accompanying PTO-2038.

Respectfully submitted,

SCIENCE & TECHNOLOGY LAW GROUP

Richard Aron Osman, J.D., Ph.D., Reg. No.36,627

Tel (949) 218-1757; Fax (949) 218-1767

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## CLAIMS APPENDIX

21. A method of changing a functional surface binding specificity of a selected PAS domain, wherein the PAS domain is folded in its native state, and comprises a hydrophobic core that has

introducing into the hydrophobic core of the PAS domain a foreign ligand of the PAS domain; and

no NMR-apparent a priori formed ligand cavity, the method comprising the steps of:

detecting a resultant change in the functional surface binding specificity of the PAS domain,

wherein the PAS domain is HIF2a PAS B, and the binding specificity is an intramolecular binding affinity of the PAS domain, detected as a change in chemical shifts detected by 1H/15N-HSQC NMR, wherein PAS refers to Per-ARNT-Sim, ARNT refers to acyl hydrocarbon receptor nuclear translocator, and HIF2a refers to hypoxia inducible factor 2 alpha.

22. (pending/withdrawn) A method of changing a functional surface binding specificity of a selected PAS domain, wherein the PAS domain is folded in its native state, and comprises a hydrophobic core that has no NMR-apparent a priori formed ligand cavity, the method comprising the steps of:

introducing into the hydrophobic core of the PAS domain a foreign ligand of the PAS domain; and detecting a resultant change in the functional surface binding specificity of the PAS domain,

wherein the PAS domain is HIF2a PAS B and is present as part of HIF2a, and the binding specificity is an intermolecular binding affinity of the PAS domain detected as a change in transcription complex formation of DNA: the HIF-2a: ARNT.

# RELATED PROCEEDINGS APPENDIX

No decisions in any related proceedings are known to exist.

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# EVIDENCE APPENDIX

The following relied-upon evidence of record is appended below:

- 1. 132 Declaration of Professor Stephen R. Sprang dated Jun 19, 2006; provided with our Response dated Jun 22, 2006; entered and considered by the Action dated Sep 01, 2006.
- 2. Sowter (Cancer Res, 2003, 63, 6130-34); provided with our Response dated Jun 22, 2006; entered and considered by the Action dated Sep 01, 2006.
- 3. Erbel et al., 2003, PNAS 100, 15504-9; provided with our Response dated Jun 22, 2006; entered and considered by the Action dated Sep 01, 2006.

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE.

Serial No. 10/677,734

Customer No. 23379

Applicant: Gardner et al.

Confirmation No. 4912

Filed: Oct 01, 2003

Group Art Unit: 1656

Docket No. UTSD:1510-1

Examiner: Swope, Sheridan

Title: Foreign PAS Ligands Regulate PAS

Domain Function

# **DECLARATION UNDER 37CFR1.132**

I, Professor Stephen R. Sprang, declare and state as follows:

- 1. I am a Professor in the Department of Biochemistry at the University of Texas Southwestern Medical School. The Board of Regents of the University of Texas System is the assignee of this patent application. I have authored numerous scientific papers in the field of protein regulation, and I am familiar with this patent application. A copy of my curriculum vitae is attached.
- 2. HIF2 $\alpha$  PAS B domain is an art-recognized, defined protein domain, and one skilled in the art does not require undue effort or experimentation to recognize and procure an HIF2 $\alpha$  PAS B domain for use in the claimed methods, as documented for example by Erbel *et al.*, *Proc. Natl. Acad. Sci.* 100(2003): 15504-9. In my opinion the Specification enables one skilled in the art to practice the invention without undue experimentation.
- 3. HIF2 $\alpha$  PAS B domain is an art-recognized, defined protein domain, and one skilled in the art has no trouble recognizing an HIF2 $\alpha$  PAS B domain for use in the claimed methods. There are many scientific publications describing the HIF2 $\alpha$  PAS B domain, and how to use it (e.g. Erbel *et al.*, 2003, supra). In my opinion the specification amply describes and exemplifies the claimed methods to one skilled in the art.
- 4. Vogtherr (2003) generally describes the use of NMR-based screening for lead discovery; Amezcua (2002) describes the used of NMR to detect ligand binding to PAS kinase; Ema (1997) reports that HIF1a heterodimerizes with ARNT (note that HIF1α is structurally and functionally distinct from the recited HIF2α; Sowter et al., Cancer Res. 63(2003): 6130-4 and Raval et al., Mol Cell Biol 25(2005): 5675-86); and Fukunaga (1995) reports identification of functional domains of the aryl hydrocarbon receptor.

Prior to the present disclosure, HIF was known to be regulated in several ways by oxygen availability, but only via mechanisms that are based on oxygen-sensitive enzymes that covalently modify portions of the HIF $\alpha$  subunit at sites distant to the PAS domains (Bruick & McKnight,

Science 294(2001): 1337-40; Jaakkola et al, Science 292(2001): 468-72; Ivan et al., Science 292(2001): 464-8; Lando et al., Science 295(2002): 858-61). These findings demonstrated two independent modes of oxygen regulation that do not involve the PAS domains, which taught away from any expectation that the HIF PAS domains would be sensory.

In addition, HIF2 $\alpha$  PASB presents a well-folded domain, which significantly contrasts with the dynamic regions of PASK PAS A (Amczcua et al., Structure 10(2002): 1349-61; Erbel et al., 2003, supra), further removing any expectation of core ligand binding. Indeed, the structure of the ligand-free [apo] form of HIF2 $\alpha$  PASB is in contrast with the apo-structures of the many small ligand-binding protein domains, which either exhibit pre-formed cavities or pockets for ligands to bind or alternatively adopt an unfolded (and often, chaperone-bound) conformation. The HIF2 $\alpha$  PASB structure shows neither of these.

Based on what was known prior to this disclosure, it is my opinion that one skilled in the art at the time of the filing date would not have expected HIF2 $\alpha$  PAS to provide a core for sensory ligand binding.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application and any patent issuing therefrom.

Date: 19 2006

Professor Stephen R. Sprang

[CANCER RESEARCH 63, 6130 6134, October 1, 2003]

Advances in Brief

# Predominant Role of Hypoxia-Inducible Transcription Factor (Hif)- $1\alpha$ versus Hif- $2\alpha$ in Regulation of the Transcriptional Response to Hypoxia<sup>1</sup>

Heidi M. Sowter, Raju Raval, John Moore, Peter J. Rateliffe, and Adrian L. Harris<sup>2</sup>

Concer Research UK, Weatherall Institute of Molecular Medicine, John Radcliffe Hospital, Oxford OX3 9DU [H. M.S., J. M., A. I., H.], and Wellcome Trust Centre for Human Generics, Oxford OX3 789 (R. R., P. J. R.], United Kingdom

#### Abstract

Tumor hypoxia induces the up-regulation of a gene program associated with angiogenesis, glycolysis, adaptation to pH, and apoptosis via the hypoxia-inducible transcription factors (Hifs) I and 2. Disruption of this pathway has been proposed as a cancer therapy. Here, we use short interfering RNAs to compare specific inactivation of Hif-I $\alpha$  or Hif-2 $\alpha$  and show markedly different cell type-specific effects on gene expression and cell migration. Remarkably, among a panel of hypoxia-inducible genes, responses were critically dependent on Hif-1 $\alpha$  but not Hif-2 $\alpha$  in both endothelial and breast cancer cells but critically dependent on Hif-2 $\alpha$  in renal carcinoma cells.

#### Introduction

Hypoxia is an important process in the progression and treatment resistance of many human cancers (1). The majority of human cells share a common mechanism of oxygen sensing mediated by Hifs<sup>3</sup> 1 and 2. These proteins are beterodimers consisting of  $\alpha$  subunits, Hif-1 $\alpha$  and Hif-2 $\alpha$  (also known as endothelial PER-ARNT-SIM domain protein 1) that dimerize with the constitutively expressed arylyhydrocarbon receptor nuclear translocator (also known as Hif-1 $\beta$ ; reviewed in Ref. 2). Both Hif- $\alpha$  molecules are subject to similar regulatory processes involving enzymatic hydroxylation of conserved prolyl and asparaginyl residues that target them for degradation via the VHL ubiquitin E3 tigase complex (reviewed in Ref. 3). Moreover, in transfection assays, both transcription factors activate a range of hypoxia response elements with similar efficacy (4, 5).

Despite these striking similarities, genetic studies have provided firm evidence for nonredundant functions. Targeted inactivation of Hif- $1\alpha$  and Hif- $2\alpha$  in embryonic stem cells is associated with different patterns of response to hypoxia and low glucose stress (6), and different developmental defects are observed in Hif- $1\alpha^{-/-}$  and Hif- $2\alpha^{-/-}$  mouse embryos (for review see Ref. 3). In part, differences may relate to distinct patterns of cellular expression. For instance, in the kidney, whereas both transcription factors are abundantly expressed, Hif- $1\alpha$  is the predominant form in epithelial cells, whereas Hif- $2\alpha$  is predominant in interstitial fibroblast and endothelial celts (7). However, many cancers and cell times express both isoforms. The expression of the two Hif- $\alpha$  isoforms at similar levels in this setting might be predicted to lead to a level of redundancy. Nevertheless, overexpression of Hif- $2\alpha$ , but not Hif- $1\alpha$ , promoted growth of renal

cell carcinoma cells (8, 9) yet inhibited growth of breast cells (10), suggesting distinct effects on biology. These findings raise important questions as to what extent Hif-1 $\alpha$  and Hif-2 $\alpha$  have overlapping or redundant transcriptional functions in the cancer setting, whether expression of particular Hif-ranscriptional targets are always linked to expression of a particular Hif- $\alpha$  isoforms, or whether transcriptional selectivity varies according to cell background.

We have used siRNAs to specifically inhibit Hif- $1\alpha$  and Hif- $2\alpha$  production in human breast and renal carcinoma cell lines and in a human endothelial cell line, which express differing levels of Hif- $1\alpha$  and Hif- $2\alpha$ , ranging from isolated expression of Hif- $1\alpha$  to isolated expression of Hif- $2\alpha$ . The role of each molecule on induction of specific transcriptional targets with a variety of functions in the hypoxic response was then investigated.

### Materials and Methods

siRNA Duplexes. The siRNA oligonucleotides were designed after the recommendations of Elbashir et al. (11) and were synthesized and highperformance liquid chromatography purified at Transgenomic Laboratories (Glasgow, United Kingdom). The Hif-La siRNA duplex targeted nucleotides 1521-1541 of the Hif-ia mRNA sequence (NMIIII1530) and comprised of: sense 5'-CUGAUGACCAGCAACUUGAdTdT-3' and antisense 5'-UCAAG-UUGCUGGUCAUCAGdTdT-3'. The Hif-2a siRNA duplex targeted nucleotides 1260-1280 of the Hif-2a mRNA sequence (NM001430) and comprised of sense 5'-CAGCAUCUUUGAUAGCAGUdTdT-3' and antisonse 5'-ACUGCUAUCAAAGAUGCUGdTdT-3'. The inverted Hif-La control duplex did not target any gene and comprised of sense 5'-AGUUCAACGAC-CAGUAGUCdTdT-3' and antisense 5'-GACUACUGGUCGUUGAdTdT-3'. Duplexes were prepared by mixing 50-µM concentrations of antisense and sense oligonucleotides with annealing buffer [30 mm HEPES (pH 7.0), 100 mm potassium acetate, and 2 mm magnesium acetate), heat denaturing for 1 min at 85°C, and annealing at 37°C for 1 h. Duplex formation was confirmed by electrophoresis through 5% low melting temperature agarose (NuSieve GTG; FMC Bioproducts, Rockland, ME). Additional siRNA duplexes used for confirmation of the specificity of particular effects were prepared as above and targeted to nucleotides 1510-1530 (AACGACACAGAAACTGATGAC) of the Hif-1o mRNA sequence and nucleotides 328-348 (AAATCAGCTTCCT-GCGAACAC) of the Hif-2\alpha mRNA sequence.

Cell Culture. MDA 435 cells, MDA 468 cells (breast cancer), 786-0 cells (renat cancer), and HUVECs (endothelial) were obtained from the Cancer Research United Kingdom cell service. Breast and renal cancer cells were grown in DMEM supplemented with 10% FCS (Globepharm), L-glutamine (2 μΜ), penicillin (50 IU/ml), and streptomycin sulfate (50 μg/ml). HUVECs were grown in the media supplemented as above but with 20% FCS phis endothelial cell growth supplement and heparin (Sigma) and grown on plates councd with 2% gelatin/PBS. Experiments were performed on dishes of cells in normoxia (humidified air with 5% CO<sub>2</sub>) or hypoxia [hypoxic conditions were generated in a Napco 7001 incubator (Precision Scientific) with 0.1% O<sub>2</sub>, 5% CO<sub>2</sub>, and balance N<sub>2</sub>].

siRNA Treatment of Cells. Cells were plated onto 10-cm² cell culture dishes and grown to 30-50% confluence before transfection. The duplexes were diluted to give a final concentration of 20 nM in Opti-Mem I (Invitrogen life Technologies, San Diego, CA). Twenty-five µI of Oligofectamine transfection reagent (Invitrogen Life Technologies) were added, and the mixture

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The costs of publication of this article were defrayed in part by the payment of page charges. This article must therefore be hereby marked notwertsement in accordance with 18 U.S.C. Section 1734 solely to indicate this fact.

H. M. S., J. M. and A. L. H. were funded by Cancer Research United Kingdom and P. J. R. by the Wellcome Trust, R. R. is a Rhodes schalar.

<sup>&</sup>lt;sup>2</sup> To whom requests for reprints should be addressed, at Weatherall Institute of Molecular Medicine, John Radelitte Hospital, Oxford OX3 9DU, United Kingdom. Phone: 44-1865-222457; Fax: 44-1865-222431; E-mail: harrisa@cancer.org.uk.

<sup>&</sup>lt;sup>3</sup> The abbreviations used are: Hif, hypoxia-inducible factor, CA9, carbonic anhydrase 9; GLUF-1, glucose transporter-1; HUVEC, human umbilical vein endothelial cell; siRNA, short interfering RNA; uPAR, unchanset-type plasminogen activator receptor, VEGF, vascular endothelial growth factor; VHL, von Hippel-Lindau.

incubated at room temperature for 25 min. The cells were rinsed with Opti-Mem I to remove any residual serum and incubated with the oligonucleotide duplexes in serum-free conditions for 4 h at 37°C. Serum was then added back to the culture, and cells were incubated for an additional 24 h before beginning an experiment.

RNA Preparation and RNase Protection Assay. Cells were rinsed with PBS and drained thoroughly. RNA was extracted from the cells using the solution D method described by Chomczynski and Sacchi (12) and assessed by absorbance at 260/280 nm. The RNase protection assay protocol and generation of  $^{32}\text{P}$ -tabeled RNA probes to Hif-1 $\alpha$ , Hif-2 $\alpha$ , and U6 small nuclear RNA has been described previously (4). Protected fragments were resolved on an 8% polyacrylamide gel and analyzed on a PhosphorImager (Molecular Dynamics, Sunnyvale, CA).

Western Blotting. Cells were washed thoroughly with PBS before being homogenized in a lysis buffer containing 8 M urea, 10% SDS, 1 M DTT, and protease inhibitors. Samples were electrophoresed on a 10% SDS-PAGE gel and transferred onto a polyvinylidene diffuoride membrane (Millipore, Bedfordshire, United Kingdom). Proteins were detected using monoclonal antibodies to Hif-1 $\alpha$  (Signal Transduction Laboratories), Hif-2 $\alpha$  (4), CA9 (13), GLUT-1 (Alpha Diagnostic, San Antonio, TX), glyceraldehyde-3-phosphate dehydrogenase (Abcam, Cambridge, United Kingdom), and BNip3 (14) at 1:1,000, 1:1,000, 1:500, 1:250, 1:2,000, and 1:20,000, respectively. As a loading control, a mouse monoclonal antibody to  $\beta$ -tubulin (Sigma) was used at 1:20,000. Overnight primary antibody incubation was followed by incubation with goat antimouse or rabbit horseradish peroxidase (Dako) and enhanced chemiluminescence developing reagents (Amersham). Blots were exposed to film for between 30 s and 2 min.

Measurement of VEGF and uPAR. Supernatant was harvested from treated cells and centrifuged to remove cell debris. Secreted VEGF and uPAR were measured in the supernatant using the respective Quantikine ELISA kit (R&D Systems, Abingdon, United Kingdom) as per the manufacturer's instructions. The amount of VEGF and uPAR in the supernatant was normalized to the final number of cells in the dish from which it was harvested.

Cell Migration Assay. Cells treated with siRNA as described above were incubated in 0.1% oxygen for 16 h, removed from the culture dish using 2 mM EDTA, and resuspended in 1% FCS media. A total of 200  $\mu l$  of senum-free media containing 1.5  $\times$  10 $^{4}$  cells was placed into the top of migration chambers with 8- $\mu$ m filters (24-well plate format; Falcon), which were standing in wells containing 700  $\mu l$  of media containing 10% FCS. The cells were incubated at 37°C for 4 h, after which the chambers were removed from the wells and coded for analysis by a blinded observer. Cells that had migrated to the bottom of the filter were fixed with 2.5% glutaraldehyde for 15 min, rinsed thoroughly with PBS, and stained with 0.1% crystal violet for 2 min. The total number of cells on the bottom of each filter was counted under a microscope, and each experiment was performed in triplicate on at least three occasions.

# Results

Specificity of siRNAs Targeted to Hif-1 $\alpha$  and Hif-2 $\alpha$ . We synthesized siRNA oligonucleotides that specifically target Hif-1 a or Hif-2α mRNAs for degradation and transfected these into cells 24 h before hypoxic stimulation. RNA extracted from the treated cells was subjected to RNAse Protection Assay analysis for Hif-1 $\alpha$  and Hif-2 $\alpha$ . MDΛ 468 and HUVECs expressed transcripts encoding both Hif-1 α and Hif-2α (Fig. 1), whereas the MDA 435 cells did not express Hif-2 $\alpha$  mRNA (Fig. 1), and the 786-0 cells did not express Hif-1 $\alpha$ mRNA (Fig. 1). Treatment of the cells with the siRNAs ablated the expression of Hif-1 $\alpha$  and Hif-2 $\alpha$  mRNA specifically in that the Hif-1α siRNA did not affect the Hif-2α gene expression and vice versa (Fig. 1). Inverted siRNA controls of the Hif-1 $\alpha$  and Hif-2 $\alpha$ siRNAs had no effect on the expression of either gene; the inverted Hif-1α siRNA was used as the control in all experiments described (Figs. 1-4). When cells were transfected with both siRNAs, expression of Hif-1 $\alpha$  and Hif-2 $\alpha$  was ablated. No cell toxicity was noted after transfection with either of the siRNAs or with Oligofectamine alone (described as the negative control). To confirm the specificity of the technique, siRNAs targeted to another region of the Hif-1 $\alpha$  and

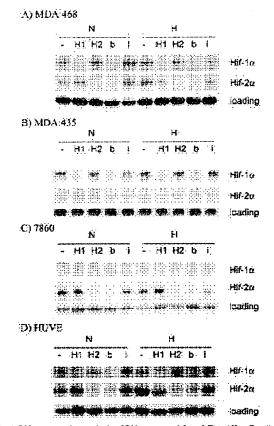


Fig. 1. RNase protection analysis of RNA extracted from MDA 468 cells (A). MDA 435 cells (B). 786-0 cells (C), and HUVECs (D). Cells were mock transferred ( $\pm$ ) or subjected to siRNA directed to Hif-1 $\alpha$  (1). Hif-2 $\alpha$  (2), both Hif-1 $\alpha$  and Hif-2 $\alpha$  (b), or inverted control (i) before subsequent incubation for 16 in in 20% oxygen (N) or 0.1% oxygen (N). Specific down-regulation of Hif-1 $\alpha$  or Hif-2 $\alpha$  mRNA occurred after siRNA for each respective transcript or both transcripts. The inverted siRNA control had no effect on mRNA levels. Quantification of U6 small nuclear RNA was used as a loading control.

Hif- $2\alpha$  mRNAs were synthesized and transfected into MDA 468 cells, which were then subjected to hypoxic stimulation. The results obtained with these siRNAs were the same as described above in respect to specificity of Hif- $1\alpha$  and Hif- $2\alpha$  targeting (data not shown).

Expression of Hypoxically Induced Genes by Human Cell Lines After Treatment with siRNA for Hif-1 $\alpha$  and Hif-2 $\alpha$ . The HIF system up-regulates the production of proteins with a wide range of functions in the homeostatic and apoptotic response (2, 3, 13, 15) to hypoxia and cell death in many different human cell types. To investigate the importance of Hif-1 $\alpha$  and Hif-2 $\alpha$  in conferring such responses in different cell backgrounds, we analyzed the expression of CA9 (acid metabolism), BNip3 (cell death), GLUT-1 (glucose/energy metabolism), VEGF (angiogenesis), and uPAR (proteolytic pathway of invasion) in MDA 435 cells, MDA 468 cells (breast carcinoma), 786-0 cells (renal carcinoma), and HUVECs (endothelial) after treatment with Hif-1 $\alpha$  and/or Hif-2 $\alpha$  siRNA. Protein levels were measured using Western blot analysis (CA9, BNip3, and GLUT-1) or ELISA (VEGF and uPAR).

Analysis of the breast carcinoma cell lines revealed that MDA 468 cells expressed both Hif- $1\alpha$  and Hif- $2\alpha$  protein (Fig. 2), whereas MDA 435 cells expressed only Hif- $1\alpha$  protein (data not shown). In both cell lines, hypoxic induction of CA9, BNip3, GLUT-1, VEGF, and uPAR protein was inhibited by treatment with Hif- $1\alpha$  siRNA but not affected by Hif- $2\alpha$  siRNA. Silencing both Hif- $1\alpha$  and Hif- $2\alpha$  had the same effect as silencing with Hif- $1\alpha$ , and the inverted control

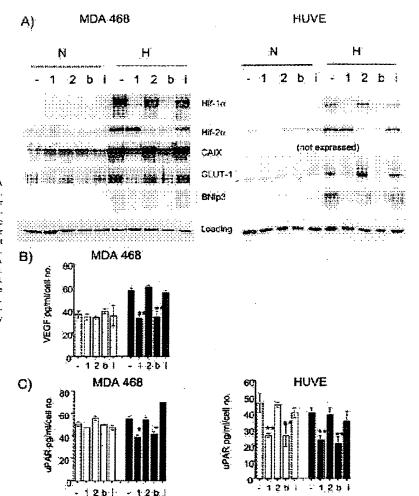


Fig. 2. 4. Western blot analysis of protein extracted from MDA 468 cells and HUVECs after treatment as described in Fig. 1. Specific down-regulation of Hif-1 a or Hif-2 a protein occurred after siRNA for each respective transcript or both transcripts. The inverted siRNA control had no effect on protein levels. Hypoxic induction of CA9, GLUT-1, and BNip3 protein was blocked after siRNA for Hif-1@ but not after siRNA for Hif-2@, siRNA against both genes also resulted in the down-regulation of the target genes. B. VEGF levels and uPAR levels (C) in media conditioned by MDA 468 (B and C) and HUVECs (C), normalized to final cell number. Normoxic or hypoxic treatment of cells is indicated by  $\square$  and  $\blacksquare$ . respectively. Experiments were performed in triplicate at least three times, and results from one representative experiment are shown. One-tailed, student I tests comparing each treatment with the hypoxic mock control were performed, and significance is indicated by for P < 0.05 and ++ for P < 0.01.

siRNA had no effect on the expression of any of the genes (Fig. 2; data not shown). The same results were obtained when MDA 468 cells were transfected with the confirmatory siRNAs (data not shown).

Similar to the MDA 468 cell lines, HUVECs expressed both Hif-1 $\alpha$  and Hif-2 $\alpha$  protein after hypoxic stimulus (Fig. 2). Hypoxia did not induce HUVECs to express CA9 or secrete VEGF but did increase the levels of expression of BNip3, GLUT-1, and uPAR. Pretreatment of HUVECs with siRNA to Hif-1 $\alpha$  ablated the hypoxic induction of BNip3, GLUT-1, and uPAR, but Hif-2 $\alpha$  siRNA treatment had no effect on protein production (Fig. 2).

The renal carcinoma cell line 786-0 expressed Hif- $2\alpha$  but not Hif- $1\alpha$ , and because this cell line lacks functional VHL, expression of Hif- $2\alpha$  was seen constitutively under normoxic conditions (Fig. 3). VEGF and GLUT-1 proteins were also constitutively expressed, but BNip3 and CA9 proteins were not expressed at detectable levels. uPAR was constitutively expressed by 786-0 cells but at 2-fold lower levels than by breast or endothelial cells. Treatment of cells with siRNA to Hif- $2\alpha$  reduced the expression of GLUT-1 and VEGF, whereas siRNA to Hif- $1\alpha$  had no effect (Fig. 3). Expression of uPAR was not affected by siRNA to Hif- $1\alpha$  or Hif- $2\alpha$ .

Cell Migration Induced by Hypoxia Is Affected by Pretreatment with siRNA to Hif- $1\alpha$  or Hif- $2\alpha$  Depending on the Cell Type. Intratumoral hypoxia is correlated with increased risk of invasion in human cancer (1), and hypoxia increases the invasion of colon carci-

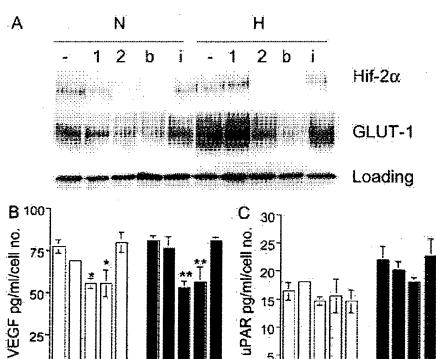
noma cells (16). To elucidate which hypoxia-induced transcription factor is involved in this process, we analyzed MDA 468 and HUVE cells treated with siRNA for Hif- $1\alpha$  or Hif- $2\alpha$  and normoxia or hypoxia in a cell migration assay. Cells subjected to hypoxia showed increased migration compared with the cells that had remained in normoxia, and treatment with inverted siRNA or mock transfection had no effect on the migration response. In MDA 435 cells, the hypoxic response was inhibited by treatment with siRNA directed to Hif- $1\alpha$  but not to Hif- $2\alpha$ . However, in MDA 468 and HUVECs, hypoxically induced migration was inhibited by pretreatment of the cells with either Hif- $1\alpha$  or Hif- $2\alpha$  siRNA. Treatment of cells with both siRNAs inhibited the hypoxically induced migration response in both cell lines but not more than with either alone (Fig. 4).

#### Discussion

In this study, we used siRNAs that specifically target degradation of mRNAs encoding Hif-1  $\alpha$  or Hif-2 $\alpha$ . After treatment with siRNA, the expression of Hif-1 $\alpha$  or Hif-2 $\alpha$  mRNA and protein was greatly reduced under hypoxic conditions. The effects of these siRNAs were analyzed in two human breast carcinoma cell lines, a human endothelial cell line, and a human renal carcinoma cell line containing an inactivating mutation in VHL.

Our results indicate that in the breast carcinoma and endothelial cell

ANALYSIS OF GENE ACTIVATION BY Hif-Ia AND Hif-2a



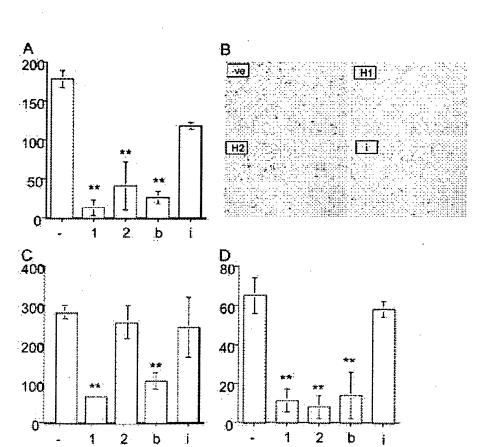
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Fig. 3. A. Western blot analysis of protein extracted from 786-0 cells after treatment as described in Fig. 1. 786-0 cells do not express Hif-1 $\alpha$ . but specific down-regulation of Hif-2a protein occurred after siRNA for Hif-2a. The inverted siRNA and Hif-1α siRNA had no effect on Hif-2α protein levels. GLUT-1 protein is reduced after siRNA for Hif- $2\alpha$  but not after siRNA for Hif- $1\alpha$ . Somewhat unusually, there was a modest induction of GLUT-1 after hypoxic stimulus, which was also inhibited by siRNA for Hif-2 a siRNA for both genes, also resulted in the down-regulation of the target genes, B, VEGF levels and uPAR levels (C) in media conditioned by the above cells normalized to final cell number. Normoxic or hypoxic treatment of cells is indicated by [] and . respectively. Experiments were performed in triplicate at least three times, and results from one representative experiment are shown. One-tailed, student / tests comparing each treatment with the hypoxic mock control were performed, and significance is indicated by \* for  $P \le 0.05$  and \*\* for  $P \le 0.01$ .



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Fig. 4. Migration analysis of cells treated with a mock transfection (-) or siRNA for Hif-1 $\alpha$  (1), Hif- $2\alpha$  (2), both Hif- $1\alpha$  and Hif- $2\alpha$  (b), or inverted control (i) before subsection incubation for 16 h in 0.1% oxygen. The number of cells that had migrated through an 8-um filter was counted, and the mean and SD of three replicates in a representative experiment is shown graphically. A and B, hypoxically induced migration of MDA 468 cells is inhibited by treatment with siRNA for both Hif-1 $\alpha$ and Hif-2 $\alpha$ , B shows photographs of the bottom of a representative selection of migration chambers, with blue cells visible around the smaller round pores of the filter. C. hypoxically induced migration of MDA 435 cells was inhibited by treatment with siRNA for Hif-1α, not Hif-2α, whereas mi-gration of HUVECs was inhibited by siRNA for both Hif-La and Hif-La (D).

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ANALYSIS OF GENE ACTIVATION BY Hif to AND Hif-2a

lines, the major Hif-a isoform required for induction of a set of well-characterized hypoxic genes is Hif-1a. Surprisingly, even in cells expressing both Hif- $\alpha$  isoforms, Hif- $2\alpha$  did not substitute in regulating any of these genes when Hif-1α was inactivated. Nevertheless, functional analysis of the endothelial and breast carcinoma cell lines revealed that both Hif-1 $\alpha$  and Hif-2 $\alpha$  are required for hypoxia-induced cell migration in cell lines that express both proteins, suggesting that there are other actions of Hif-2 $\alpha$  that have not been revealed in our studies of gene expression. Overall, however, the importance of Hif-1 a in these cells is in concordance with other studies that have reported Hif-1\alpha as a positive factor in tumor growth (17) and carcinoma cell invasion (16) in different cells. The hypothesis that Hif-1 $\alpha$  is the major hypoxia-induced transcription factor involved in breast carcinogenesis is supported by evidence that one of the breast carcinoma cell lines used in this study has lost Hif-2 $\alpha$ expression, and stable transfection of this cell line with Hif-2α resulted in its impaired growth as xenograft tumors compared with the parental line (10).

In contrast with the above results, we found that in the VHLdefective 786-0 renal carcinoma line, in which the native Hif-1 $\alpha$  gene is not expressed, some of the hypoxia-inducible transcripts were now critically dependent of Hif-2\alpha. VHL is required for proteolytic regulation of both Hif-1 $\alpha$  and Hif-2 $\alpha$ , and in VHL defective cells both isoforms are stabilized. However, there is an unusual bias toward enhanced Hif-2\alpha mRNA expression in clear cell renal carcinoma that is not observed in the renal tubular epithelium from which these tumors are derived (7) but arises during tumor development (18). This may be because of an additional action of VHL on the Hif system (19, 20) and/or additional non-VHL mediated actions on Hifα isoforms that arise during the oncogenic process. The current results suggest the existence of another distinct interface between the HIF system and renal carcinogenesis that makes connections between Hif-2α expression and certain hypoxia-inducible mRNAs. The finding that the Hif- $2\alpha$  pathway appears to be specifically activated in clear cell renal carcinogenesis by several steps strongly suggests a causal role for Hif- $2\alpha$  in development of the cancer. Interestingly, this is supported by comparison of results from two groups that have examined the expression of mutant forms of Hif-1 $\alpha$  or Hif-2 $\alpha$  that escape VHLmediated destruction on the tumor suppressor effect of expressing wild-type VHL in renal cell carcinoma cells. These studies have shown that stabilized Hif- $2\alpha$  but not Hif- $1\alpha$  reverses VHL tumor suppressor function (8, 9).

In conclusion, these studies have, for the first time, directly compared functional inactivation of Hif-1 $\alpha$  and Hif-2 $\alpha$  in different cancer cell lines. The findings indicate that the actions are distinct and differ according to cell background and suggest that these differences are important in tumor development.

#### Acknowledgments

We thank Arnold Greenberg (deceased) of the cell death group at Manitoba Institute of Cell Biology, University of Manitoba (Winnipeg, Manitoba, Canada) for the kind gift of the BNip3 monoclonal antibody.

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# Structural basis for PAS domain heterodimerization in the basic helix-loop-helix-PAS transcription factor hypoxia-inducible factor

Paul J. A. Erbel\*\*, Paul B. Card\*\*, Ozgur Karakuzu\*, Richard K. Bruick\*, and Kevin H. Gardner\*\*\*

Departments of "Biochemistry and 1Pharmacology, University of Texas Southwestern Medical Center, 5323 Harry Hines Boulevard, Dallas, TX 75390

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Biological responses to oxygen availability play important roles in development, physiological homeostasis, and many disease processes. In mammalian cells, this adaptation is mediated in part by a conserved pathway centered on the hypoxia-inducible factor (HIF). HIF is a heterodimeric protein complex composed of two members of the basic helix-loop-helix Per-ARNT-Sim (PAS) (ARNT, aryl hydrocarbon receptor nuclear translocator) domain family of transcriptional activators,  $HIF\alpha$  and ARNT. Although this complex involves protein-protein interactions mediated by basic helixloop-helix and PAS domains in both proteins, the role played by the PAS domains is poorly understood. To address this issue, we have studied the structure and interactions of the C-terminal PAS domain of human HIF-2 $\alpha$  by NMR spectroscopy. We demonstrate that HIF-2\alpha PAS-B binds the analogous ARNT domain in vitro, showing that residues involved in this interaction are located on the solvent-exposed side of the HIF-2 $\alpha$  central  $\beta$ -sheet. Mutating residues at this surface not only disrupts the interaction between isolated PAS domains in vitro but also interferes with the ability of full-length HIF to respond to hypoxia in living cells. Extending our findings to other PAS domains, we find that this  $\beta$ -sheet interface is widely used for both intra- and intermolecular interactions, suggesting a basis of specificity and regulation of many types of PAS-containing signaling proteins.

ellular responses to oxygen availability are essential for the development and homeostasis of mammalian cells, demonstrated most critically by the link between the cellular adaptation to reduced tissue oxygenation and disease progression (1, 2). In mammalian cells, these responses are mediated in part by the hypoxia-inducible factor (HIF), a heterodimeric transcription factor composed of HIFa and aryl hydrocarbon receptor nuclear translocator (ARNT, also known as HIFB) (3). HIF activity is tightly controlled under normoxic conditions by multiple O<sub>2</sub>dependent hydroxylation events of the HIFa subunit, which coordinately promote the ubiquitin-mediated destruction of this protein (4) and impair its ability to interact with transcriptional coactivators (5, 6) (Fig. 1a). These controls are relieved during hypoxia, allowing HIF to activate the transcription of genes that facilitate metabolic adaptation to low oxygen levels and increase local oxygen supply by angiogenesis (7).

All three isoforms of HIF $\alpha$  [HIF- $1\alpha$ , -2 $\alpha$  (EPAS1), and -3 $\alpha$ ] (8, 9) and ARNT belong to the basic helix-loop-helix (bHLH)-Per-ARNT-Sim (PAS) family of eukaryotic transcription factors, which contain bHLH and PAS domains (Fig. 1). The bHLH domains of these proteins serve as dimerization elements, helping determine the specificity of complex formation while providing a DNA-binding interface composed of the basic regions from each monomer (10). PAS domains are widespread components of signal transduction proteins, currently identified in >2,000 proteins from organisms in all three kingdoms of life. These domains, shown to be protein-protein interaction elements in several systems (11), also appear to contribute to the dimerization process and thus increase the specificity of bHLH-PAS transcription factor formation (12, 13). In the case of the HIF $\alpha$ /ARNT complex, communoprecipitation and gel mobil-

ity-shift experiments using truncated forms of HIF $\alpha$  and ARNT suggest that although the bHLH domains alone are able to dimerize, the PAS domains are required to build a stable heterodimer capable of robust DNA binding (14, 15). These data suggest a model of the complex where the bHLH. PAS-A, and PAS-B domains of ARNT interact with their counterparts in HIF $\alpha$  (Fig. 1a). However, most of this model remains speculative in light of the sparse data describing how PAS domains bind to each other, or more generally, to any protein partner.

To provide insight into this general topic of PAS domain signaling, particularly its importance in the hypoxia response pathway, we have studied the structure and interactions of the C-terminal PAS domain of human HIF- $2\alpha$  (HIF- $2\alpha$  PAS-B) by NMR spectroscopy. We report that HIF-2α PAS-B adopts a structure similar to other members of this family, with a central  $\beta$ -sheet flanked on one face by several  $\alpha$ -helices. We further show that HIF-2\alpha PAS-B binds directly to the human ARNT PAS-B domain in vitro, identifying the interface as a group of residues located in the central strands of the  $\beta$ -sheet. With structure-based mutations of this interface in the PAS-B domains of HIF-1 $\alpha$  and -2 $\alpha$ , we demonstrate that such changes interfere with the binding of isolated PAS-B domains in vitro but more importantly disrupt the ability of full-length HIF proteins to respond to hypoxia in living cells. These observations led us to compare PAS domains from multiple systems, showing that the  $\beta$ -sheet interface participates in a wide range of inter- and intramolecular interactions and suggesting a way that specificity and regulation may be achieved among these versatile domains.

#### **Materials and Methods**

Protein Expression and Purification. DNA-encoding fragments of human H1F-2 $\alpha$  PAS-B (residues 240–350) and ARNT PAS-B (residues 356–470) were subcloned into the pG $\beta$ 1-parallel and pHis-parallel expression vectors, respectively (16, 17). Escherichia coli BL21(DE3) cells transformed with these plasmids were grown in M9 media containing 1 g/liter  $^{15}$ NH<sub>4</sub>Cl for U- $^{15}$ N samples (supplemented with 3 g/liter  $^{15}$ C<sub>6</sub> glucose for U- $^{15}$ N/ $^{13}$ C labeled samples). These cultures were grown at 37°C to an  $A_{600}$  of 0.6–1.0, then induced overnight at 20°C by the addition of 0.5 mM isopropyl  $\beta$ -D-thiogalactoside.

The purification of HIF-2α PAS-B has been detailed (18). NMR samples typically contained 0.9 mM protein in 50 mM Tris buffer (pH 7.3), 15 mM NaCl, 5 mM DTT, 5 mM NaN<sub>3</sub> and a protease inhibitor mixture (Sigma) in 90% H<sub>2</sub>O/10% D<sub>2</sub>O,

This paper was submitted directly (Track II) to the PNAS office.

Abbreviations: HIF, hypoxia-inducible factor; PAS, Per-ARNT-Slm: HIF-2a PAS-B, C-terminal PAS domain of human HIF-2a; ARNT, aryl hydrocarbon receptor nuclear translocator; bHLH, basic helix-loop-helix: HSQC, hateronuclear sequential quantum correlation; CHO, Chinese homster overy; HRE, hypoxia responsive element.

Data deposition: The atomic coordinates for the HIF-2a PAS-B domain have been deposited in the Protein Data Bank (PDB ID 1997).

<sup>&</sup>lt;sup>‡</sup>To whom correspondence should be addressed. E-mail: kevin.gardner@utsouthwestern. edu.

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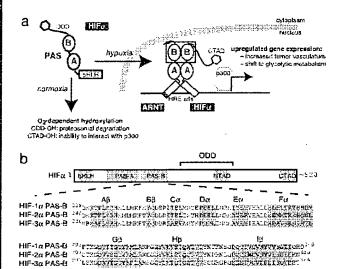


Fig. 1. Oxygen-dependent regulation and domain architecture of HIF proteins. (a) HIF regulation is tightly linked to intracellular oxygen levels. Under normoxic conditions, HIF $\alpha$  is posttranslationally hydroxylated, promoting its degradation (modification of the oxygen-dependent degradation domain (ODD)] and interfering with its ability to interact with CBP/p300 coactivators (modification of the transcriptional activation domains NTAD and CTAD). These modifications are not made under hypoxic conditions, allowing HIF $\alpha$  to accumulate and enter the nucleus where it associates with ARNT and binds to HREs upstream of hypoxia-activated genes. The red box highlights the HIF $\alpha$  and ARNT PAS-8 domains. (b) Domain topology of HIF $\alpha$  subunits, including a bHLH domain, two PAS domains, and C-terminal regulatory domains. A sequence alignment of the HIF $\alpha$  PAS-8 orthologs is shown, with bold letters indicating the mutated residues described in the text. HIF-2 $\alpha$  PAS-8 secondary structure elements are indicated with a gray background.

unless otherwise noted. ARNT PAS-B was expressed and purified as described in *Supporting Methods*, which is published as supporting information on the PNAS web site.

Parallel studies on human H1F-1 $\alpha$  PAS-B used a construct containing residues 238–349, chosen by homology with H1F-2 $\alpha$  PAS-B. Expression and purification of H1F-1 $\alpha$  PAS-B were done as described for H1F-2 $\alpha$  PAS-B.

NMR Spectroscopy. All NMR data were recorded at 30°C with Varian Inova 500 and 600 MHz spectrometers by using NMRPIPE for data processing (19) and NMRVIEW for analysis (20). Chemical-shift assignments were made by using standard methods (21) as detailed in Supporting Methods.

Deuterium exchange reactions were started by resuspending lyophilized  $^{15}$ N-labeled HIF-2 $\alpha$  PAS-B in 99% D<sub>2</sub>O (uncorrected pH 7.3). These samples were then placed into a prewarmed magnet ( $T=30^{\circ}$ C), and  $^{15}$ N/ $^{1}$ H heteronuclear sequential quantum correlation (HSQC) spectra were sequentially acquired approximately every 15 min. Observed  $^{2}$ H exchange rates were converted into protection factors by using standard methods (22).

Structure Determination. Interproton distance constraints were obtained from 3D  $^{15}$ N edited NOESY ( $\tau_m = 150$  ms),  $^{15}$ N,  $^{13}$ C edited NOESY ( $\tau_m = 100$  ms), and 2D NOESY ( $\tau_m = 120$  ms) spectra. Hydrogen bond constraints (1.3 Å < d<sub>NII-O</sub> < 2.5 Å, 2.3 Å < d<sub>N-O</sub> < 3.5 Å) were set for backbone amide protons protected for >30 min from exchange with D<sub>2</sub>O solvent (30°C, pH 7.3). Constraints for the  $\phi$  and  $\psi$  dihedral angles were generated by chemical-shift analyses by using TALOS (23), with two times the standard deviation of TALOS predictions as the bounds (minimum  $\pm$  30°). For 19 residues without TALOS

predictions,  $\phi$  dihedral angle constraints were obtained from an analysis of a 3D HNHA spectrum. Finally,  $78^{-15}N^{-1}H$  residual dipolar coupling constraints were obtained from a sample partially aligned in 5% (wt/vol) DMPC/DHPC ratio of 3:1 (Avanti Polar Lipids) and 5 mM cetyltrimethylammonium bromide at  $35^{\circ}$ C.

Initial structures were determined without manual assignments by using ARIAL2 (24, 25) and subsequently refined with a mix of automated and manual assignment of NOESY spectra. Of 1,000 structures, the 20 lowest-energy structures were analyzed with MOLMOL (26) and PROCHECK-NMR (27).

From this ensemble, the structure closest to the mean was superimposed against other PAS domains with the DEEPVIEW Swiss Protein Data Bank program (28) with the automatic fit option. The calculated rms deviations ranged between 1.4 and 1.65 Å for HERG (Research Collaboratory for Structural Bioinformatics Protein Data Bank ID IBYW), hPASK (ILL8), RmFixL (1D06), and Phy3 (1G28). The HIF-2\alpha PAS-B structure was also used to generate a model of the HIF-1\alpha PAS-B structure (74% sequence identity) by using MODELLER (29).

HIF $\alpha$  and ARNT PAS-B Titration. Titrations were conducted by the stepwise addition of natural abundance ARNT PAS-B (up to 800  $\mu$ M) to a sample of 200- $\mu$ M HIF-2 $\alpha$  at 35°C. The peak heights of HIF-2 $\alpha$  PAS-B signals that do not show ARNT-dependent chemical shift changes (38 residues) were fit to Eq. 1 to obtain the corresponding  $K_d$ :

$$\Delta I = 1 - \{ \Delta I_{\text{max}} \times [(A + P_T + K_d) - ((A + P_T + K_d)^2 - (4 \times A \times P_T))^{1/2}] / [2 \times P_T] \}, \quad [1]$$

where  $\Delta I$  is the observed change in peak height at ARNT concentration A,  $\Delta I_{max}$  is the change in peak height at saturation, and  $P_T$  is the total HIF $\alpha$  concentration. Eq. 1 is similar to the equation used to extract  $K_{\rm d}$  from chemical-shift changes observed in titrations of complexes undergoing fast exchange (30), and we apply it here only to sites without chemical-shift changes (fast exchange) to ensure that the observed peak line widths are a population-weighted average of the free- and bound-state line widths (31). The binding of HIF-2 $\alpha$  PAS-B mutants to ARNT PAS-B was assessed by adding 900  $\mu$ M natural abundant ARNT PAS-B to 250  $\mu$ M HIF $\alpha$  PAS B at 25°C.

Mutagenesis. Point mutants of full-length HIF- $1\alpha$  and  $-2\alpha$  were created from wild-type DNA and primers including the desired mutation(s). PAS-B domains containing these mutations were obtained by PCR amplification of the corresponding full-length sequence and subcloned into the pG $\beta$ 1-parallel vector. Transformation, protein induction, and purification were performed as described above.

Transfections. Cells were plated onto 48-well plates ( $3.5 \times 10^4$  cells per well) in  $200\,\mu l$  of HyQ DME/F-12 1:1 media (HyClone) supplemented with 5% FBS 24 h before transfection. Cells were transfected with 10 ng of each HIF $\alpha$  construct and 20 ng of the 3HR E-tk-luc (HR E, hypoxia-responsive element) luciferase reporter construct (8) by using the Lipofectamine PLUS reagent (Invitrogen). After 3 h, the media were changed and, after an additional 2 h, cells were incubated for 15 h under normoxic or hypoxic ( $1.0\%~O_2$ ) conditions. Luciferase activity was measured as described (32).

#### Results

Solution Structure of HIF-2 $\alpha$  PAS-B. We determined the solution structure of HIF-2 $\alpha$  PAS-B by using standard double- and triple-resonance NMR experiments conducted on uniformly  $^{15}$ N and  $^{15}$ N  $^{13}$ C labeled protein samples (Fig. 2). This structure is

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Fig. 2. Solution structure of HIF-2α PAS-8. (a) Superimposition of 20 lowestenergy structures for HIF-2α PAS-8, calculated as indicated in the text. (b) Ribbon diagram of the structure closest to the mean of the ensemble shown in a. Circles indicate the approximate locations of the ligand-binding sites of several PAS domains (17, 33–36).

PAS kinase

based on >2,500 geometric constraints obtained from measurements of interproton distances, dihedral angles, and <sup>15</sup>N-<sup>1</sup>H residual dipolar couplings of a partially oriented sample (Table 1). All of these data are well satisfied by the high-precision ensemble of the 20 lowest-energy structures subsequently used for further analysis.

HIF- $2\alpha$  PAS-B adopts a typical  $\alpha/\beta$  PAS domain fold, characterized by several  $\alpha$ -helices flanking a five-stranded antiparallel  $\beta$  sheet. The similarity of this structure to other PAS domains is demonstrated by the low-backbone rms deviation values (1.4–1.65 Å) of pairwise comparisons between representative PAS structures and HIF- $2\alpha$  PAS-B. Although several other PAS domains bind cofactors within their hydrophobic cores to regulate protein-protein interactions in response to various physical stimuli (11), a combination of NMR, mass spectrometry, and visible spectroscopy shows that HIF- $2\alpha$  PAS-B does not copurify with any such compound (data not shown). Further, no preformed cavities are present in the protein core, even at sites occupied by ligands in some other PAS domains (17, 33–36) (Fig. 2b).

Identification of ARNT PAS-B-Binding Surface on HIF- $2\alpha$  PAS-B. The PAS domains in bHLH-PAS transcription factors are thought to cooperate with the bHLH domains to facilitate dimerization (12, 13), which implies that the HIF $\alpha$  and ARNT PAS domains bind to each other (Fig. 1a). To experimentally demonstrate this interaction, we titrated unlabeled ARNT PAS-B into <sup>15</sup>N-labeled HIF- $2\alpha$  PAS-B and monitored changes in the HIF- $2\alpha$  PAS-B and monitored changes in the HIF- $2\alpha$  both chemical-shift changes and line broadening on addition of ARNT PAS-B, consistent with binding on the intermediate and

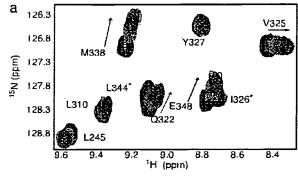
Table 1. Statistics for HIF-2 $\alpha$  PAS-B solution structure determination

| List of constraints                          |                             |
|--|-----------------------------|
| NOE distance restraints                      |                             |
| Unambiguous                                  | 2,767                       |
| Ambiguous                                    | 496                         |
| Hydrogen bond restraints                     | 60                          |
| Dihedral angle restraints                    | 96                          |
| <sup>15</sup> N¹H residual dipolar couplings | 78                          |
| Stereospecific assignments                   | 12                          |
| (Val γ, Leu δ)                               |                             |
| Structural analysis                          |                             |
| Mean rms deviation from                      |                             |
| experimental restraints                      |                             |
| NOE, Å                                       | 0.022 +/- 0.002             |
| Dihedral angles, deg                         | 1.04 +/- 0.16               |
| Average number of:                           |                             |
| NOE violations > 0.5 Å                       | 0                           |
| NOE violations > 0.3 Å                       | 1.9 + /- 1.2                |
| Dihedral violations >5°                      | 1.6 +/- 1.1                 |
| Mean rms from idealized covalent             |                             |
| geometry                                     |                             |
| Bonds, Å                                     | 0.0045                      |
| Angles, deg.                                 | 0.65                        |
| Impropers, deg.                              | 1.69                        |
| Geometric analysis of residues               |                             |
| 6-91 and 98-112                              |                             |
| rms deviation to mean                        | 0.53 ±/- 0.07 Å (backbone)  |
|  | 1.08 +/- 0.10 Å (all heavy) |
| Ramachandran analysis (MOCHECK)              | 81.0% most-favored          |
|  | 16.4% additionally allowed  |
|  | 1.6% generously allowed     |
|  | 1.0% disfavored             |
|  |                             |

fast exchange time scales. In contrast, we found that HIF- $2\alpha$  PAS-B signals were not affected by the addition of a PAS domain from PAS kinase, a protein not involved in the hypoxia response (17) (data not shown), suggesting that the changes observed on addition of ARNT PAS-B reflect a specific HIF- $2\alpha$ /ARNT interaction.

The ARNT-induced changes in the HIF-2 $\alpha$  line widths demonstrate two important effects. First, we observed a general increase in line width for HIF- $2\alpha$  peaks during the titration, which we attribute to the slower tumbling of the larger 27-kDa heterodimeric complex compared with an isolated H1F-2α PAS-B domain. By monitoring this broadening via the decrease in peak heights as ARNT PAS-B was added, we observed a titration consistent with a 1:1 binding event with a 30  $\mu$ M  $K_d$  (Fig. 3b). This effect saturated at a 1:3 (HIF/ARNT) ratio, establishing that it is not caused by nonspecific increases in sample viscosity or aggregation. Second, we observed that a subset of residues preferentially broadened on the addition of substoichiometric amounts of ARNT PAS-B. Such differential effects have been observed in several complexes (17, 37, 38) and arise from exchange broadening at sites experiencing significant chemical-shift changes on complex formation. Mapping sites that exhibit either this differential broadening or significant ARNT-induced chemical shift changes onto the EIIF-2α PAS-B structure shows that they cluster on the face of the central  $\beta$ -sheet (Fig. 4). This provides a chiefly hydrophobic surface for ARNT binding that is conserved among the HIF isoforms (Fig. 1), suggesting that the PAS-B domains of all three interact similarly with ARNT.

Evidence for the importance of this interface in the HIF/ARNT PAS-B dimer was obtained from studies of PAS-B domains containing point mutations. Based on our structure, we altered three



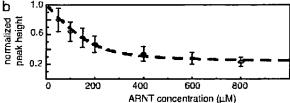
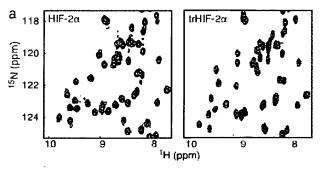


Fig. 3. Characterization of the HIF-2 $\alpha$ ; ARNT PAS-B-binding interaction. (a) Titration of unlabeled ARNT PAS-B (black, 0  $\mu$ M; light blue, 200  $\mu$ M; blue, 600  $\mu$ M; red, 800  $\mu$ M ARNT) into a 200  $\mu$ M isN-labeled HIF-2 $\alpha$  PAS-B solution. Arrow shows direction of peak shifts with increasing amounts of ARNT. Residues with peak broadening beyond detection during the titration are indicated with \*. (b) Normalized peak heights of HIF-2 $\alpha$  PAS-B (38 resonances) plotted against increasing amounts of ARNT PAS-B. The concentration dependence of the observed reduction in peak heights can be fit to a 1:1 binding event with a  $K_d$  of  $\sim$ 30  $\mu$ M (dotted line).

residues with solvent-exposed side chains within the H $\beta$  and I $\beta$  strands (Q322E, M338E, and Y342T) (Fig. 4b). <sup>15</sup>N/<sup>1</sup>H HSQC spectra of this triple mutant (trHIF-2 $\alpha$  PAS-B) retain the chemical-shift dispersion and general pattern of the wild-type protein, confirming that the protein structure remains intact (Fig. 5 $\alpha$ ). The ARNT-binding capability of this mutant was assessed by comparing <sup>15</sup>N/<sup>1</sup>H HSQC spectra before and after addition of unlabeled ARNT PAS-B. As demonstrated by the minimal ARNT-induced changes in peak locations and intensities, the interaction of the triple mutant HIF-2 $\alpha$  PAS-B with ARNT has been very significantly weakened. These data establish that subtle changes on the surface of the H $\beta$  and I $\beta$  strands of HIF-2 $\alpha$  PAS-B can disrupt the HIF-ARNT PAS-B interaction.



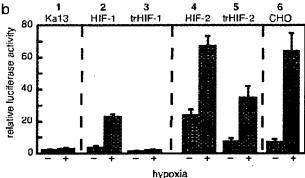


Fig. 5. Point mutations in the HIF $\alpha$  PAS-B central  $\beta$ -sheet disrupt the binding of ARNT PAS-B. (a) Superimposed  $^{15}$ N/ $^{1}$ H HSQC spectra of 250  $\mu$ M  $^{15}$ N labeled HIF-2 $\alpha$  PAS-B (Left) or triple mutant (Q322E/M33BE/Y342T) (Right). Spectra in the presence of 900  $\mu$ M unlabeled ARNT PAS-B are shown with red contours; those without ARNT are shown in black contours. Similar data for HIF-1 $\alpha$  PAS-B are provided in Supporting Methods. (b) PAS-B domain interaction is important to form a biologically active HIF/ARNT complex. A construct expressing a luciferase reporter under the control of an HRE promoter was transfected into Ka-13 (columns 1–5) or CHO (column 6) cells along with various HIF $\alpha$  constructs. Values represent the average luciferase activity of three samples, with bars indicating standard error. Luciferase expression was induced by cotransfection of HIF-1 $\alpha$  (column 2) or HIF-2 $\alpha$  (column 4), particularly under hypoxic conditions. Cotransfection of trHIF-1 $\alpha$  (column 3) or trHIF-2 $\alpha$  (column 5), full-length HIF $\alpha$  proteins containing the three PAS-B mutations, shows a significant drop in luciferase activity compared with wild-type HIF $\alpha$ .

Comparison of HIF-1 $\alpha$  and -2 $\alpha$  PAS-B. Sequence alignments of HIF-1 $\alpha$  and -2 $\alpha$  indicate that the PAS-B domains of these proteins are extremely similar (74% identity; Fig. 1b). Nevertheless, the HIF-1 $\alpha$  homolog of our HIF-2 $\alpha$  PAS-B construct

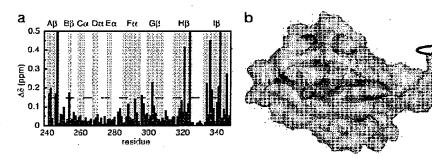


Fig. 4. Identification of the ARNT PAS-B-binding site on the surface of HIF-2α PAS-B. (a) Chemical-shift changes from <sup>15</sup>N/<sup>1</sup>H HSQC spectra of HIF-2α PAS-B in the presence of 800 μM ARNT are plotted as a function of residue number. The red line indicates chemical-shift changes >0.16 ppm. Residues with peak broadening beyond detection are shown as an arbitrary chemical-shift change of 0.5 ppm. Secondary structure elements are indicated with a gray background. (b) Surface representations of HIF-2α PAS-B showing the location of the ARNT PAS-B-binding site. Colors indicate residues with large chemical-shift changes (>0.4 ppm) or broadening beyond detection (red), residues with a significant chemical-shift changes (>0.16 ppm) (orange), and the site of the mutated residues that disrupt the HIF/ARNT PAS-B interactions (yellow). Figs. 4 and 6 were made with princ. (www.pymol.org).

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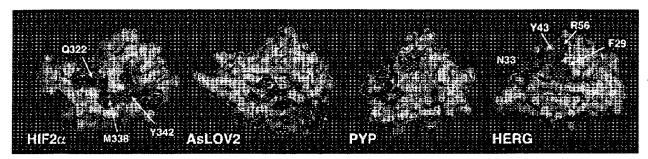


Fig. 6. Versatility of protein interactions involving PAS domain β-sheets. HIF2σ is shown in the same orientation as Fig. 4b and colored by residues experiencing significant <sup>15</sup>N/H chemical shifts on complex formation (red) and those used to generate the complex-disrupting trHIF-2α (blue). Phototropin (AsLOV2) (36) and photoactive yellow protein (33) highlight the α-helices external to the PAS core (magenta) and any atoms located within 5 Å of those helices (pink). HERG (42) shows functionally important, solvent-exposed residues (dark blue) and residues present in a surface hydrophobic patch suggested to be important for channel function (light blue) (42).

was too poorly behaved in solution to be amenable to structure determination. However, by combining the high sequence identity between these PAS-B domains and the H1F-2\alpha PAS-B solution structure, we generated a homology model of HIF-1 $\alpha$ PAS-B (29). This guided our mutation of three solvent-exposed residues in the HIF-1 $\alpha$  PAS-B  $\beta$ -sheet (Q320E/V336E/Y340T) at sites analogous to those changed in HIF-2\alpha PAS-B. Interestingly, this HIF-1α PAS-B triple mutant was significantly better behaved in solution than the wild-type domain. To determine whether HIF-1a PAS-B bound ARNT PAS-B in a similar fashion as HIF-2α PAS-B, we recorded <sup>15</sup>N/<sup>1</sup>H HSQC of wild-type and triple-mutant HIF-1α PAS-B in the presence and absence of ARNT PAS-B (Fig. 7, which is published as supporting information on the PNAS web site). In the case of wild-type HIF-1α PAS-B, the combination of specific peak shifting and line broadening on the addition of ARNT PAS-B indicated binding. In contrast, this interaction was disrupted in the triple mutant (Fig. 7), demonstrating that the interface identified in HIF- $2\alpha$  is as crucial for the ARNT-binding function of HIF- $1\alpha$ .

Functional importance of PAS-B in Full-Length HIF $\alpha$ . Our demonstration of specific interactions between the isolated HIF $\alpha$  and ARNT PAS-B domains suggested that they function as dimerization elements in the HIF heterodimer. To address this question in living cells with full-length HIF $\alpha$ , we used a luciferase-based assay that uses Chinese hamster ovary (CHO) cells lacking functional HIF $\alpha$  (CHO-Ka13) (39). CHO-Ka13 cells were transiently transfected with full-length HIF $\alpha$  constructs under the control of the HIF-1 promoter (40) and encoding either wild-type or a mutant sequence containing the three PAS-B domain mutations. Low levels of DNA were transfected to roughly match the HIF activity in wild-type CHO cells. The abilities of these HIF $\alpha$  constructs to activate transcription were determined by measuring the expression of luciferase under the control of a minimal HRE promoter (8).

After expressing either wild-type HIF- $1\alpha$  or  $-2\alpha$ , the hypoxia response of the transfected CHO-Ka13 cells mimicked that of wild-type CHO cells, confirming that HIF $\alpha$  activity can be rescued by expression from these plasmids (Fig. 5b). Cells transfected with HIF- $2\alpha$  constructs either lacking the entire PAS-B domain ( $\Delta 240-350$ ) or containing a mutation known to unfold PAS-B (C339P; unfolded as established by NMR) showed no significant luciferase activity under any conditions (data not shown). Although these results suggest that HIF $\alpha$  PAS-B plays a crucial role in forming a biologically active HIF $\alpha$ /ARNT heterodimer, the question remained whether the subtler PAS-B modifications that disrupted in vitro binding would have a similar effect. Therefore, we transfected CHO-Ka13 cells with full-

length HIF-1 $\alpha$  and -2 $\alpha$  constructs containing the three PAS-B mutations (trHIF $\alpha$ ). For both mutant proteins, the HRE-driven luciferase response is decreased compared with that observed in cells containing vectors expressing wild-type HIF $\alpha$ . In the case of trHIF-1 $\alpha$ , the response is virtually eliminated (Fig. 5b, column 3), whereas a less pronounced but significant reduction is observed for trHIF-2 $\alpha$  (Fig. 5b, column 5). These results are surprising in light of the three apparently redundant sets of protein-protein interactions implied by the model of the HIF heterodimer (Fig. 1a). Our data show that the subtle disruption of the PAS-B interaction within the full length HIF $\alpha$  protein is sufficient to prevent the formation of the HIF transcription factor, thereby abolishing the hypoxia response.

#### Discussion

Despite the intense interest in hypoxia signaling, previous understanding of the roles of various protein-protein interactions in the HIF heterodimer remained unclear. Much of the data underlying prior work in this area were based on deletions generated at a time when the lack of structural data hampered the design of constructs cleanly corresponding to PAS domain boundaries. Unfortunately, this approach has complicated the interpretation of these findings with respect to the functional importance of individual PAS domains. In this context, our results provide the structural insight to clarify how PAS-B domains contribute to the stability of HIF and other bHLH-PAS transcription factor complexes. We demonstrate that the PAS-B domains of HIFa and ARNT directly associate in vitro, using a group of predominantly hydrophobic residues located on the solvent-exposed face of the HIF $\alpha$   $\beta$ -sheet. Critically, mutations that disrupt the interaction between isolated PAS-B domains in vitro also interfere with the ability of the full-length heterodimer to activate transcription in living cells. These results establish the importance of the β-sheet interaction surface of HIFα PAS-B domains within the complexes that are central to the hypoxia response pathway.

More broadly, the  $\beta$ -sheet interface we identified appears to be important for a wide array of inter- and intramolecular interactions within several PAS domains (Fig. 6). Evidence of this commonality is provided by PAS domains from two blue-light photoreceptors, phototropin1 (AsLOV2) (36) and photoactive yellow protein (33). Both of these domains are flanked by additional  $\alpha$ -helical elements that fold back on the PAS domain itself, associating with the same  $\beta$ -sheet region used by HIF $\alpha$  to bind ARNT (Fig. 6). An additional example is provided by the human ether-a-go-go-related gene (HERG) potassium channel, which contains a PAS domain that controls the poststimulation deactivation kinetics of this channel, possibly by interacting with

the S4-S5 linker (41). Point mutations in the PAS domain that impair channel function have been identified by both alanine scanning mutagenesis (42) and sequencing of HERG genes from individuals affected by long QT syndrome (41), an inherited cardiac disorder associated with defects in HERG and other ion channels. Biochemical studies of channels containing one of several conservative mutations in solvent-exposed residues (F29L, F29A, N33T, Y43A, and R560; Fig. 6) demonstrate that the region analogous to the H1F/ARNT interface plays an important role in regulating HERG channel kinetics. In summary, these comparisons indicate that the exposed face of the central  $\beta$ -sheet in PAS domains is well suited-for making many functionally important types of associations.

This comparison also suggests a route that might be exploited to regulate the formation of the  $HIF\alpha$ -ARNT complex by using small organic compounds. Although neither a natural ligand nor a ligand-binding cavity has been identified for the  $HIF\alpha$  PAS-B domains, this should not be interpreted to mean this domain cannot bind any small compounds. Indeed, it has been demonstrated that certain artificial chemicals can specifically bind into the well packed hydrophobic core of a PAS domain from PAS kinase (Fig. 2b) (17), likely producing significant conformational changes therein. Parallel studies of two PAS domains with

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natural cofactors, AsLOV2 and photoactive yellow protein, have shown that relatively small structural changes in these internally bound compounds are sufficient to displace  $\alpha$ -helices bound onto the same  $\beta$ -sheet interface we identified in HIF $\alpha$  (36, 43). Combining these observations and an earlier model proposed for PAS domain signaling (44) raises the possibility that binding compounds in the HIF $\alpha$  PAS-B core could generate structural changes that disrupt the interactions needed to form the HIF heterodimer, analogous to the HIF $\alpha$  mutations demonstrated here. Given the central role of HIF in the hypoxia response and the importance of this pathway in cancer progression, this approach may serve as the basis for a novel therapeutic strategy (1, 2).

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